

BOARD OF ETHICS
Open Session Minutes
September 20, 2006 - 3:12 p.m.
740 North Sedgwick, Suite 500

Board Members Present

Darryl L. DePriest, Chair
Michael F. Quirk
Mary Beth S. Robinson
John L. Wihelm, M.D., MPH

Miguel A. Ruiz (Absent)

Staff Present

Dorothy J. Eng, Executive Director
Steven I. Berlin, Deputy Director
Michael Haggerty, Deputy Director
Richard J. Superfine, Legal Counsel
Briana Milton, Attorney-Investigator
Edward Primer, Program Director
Jef Johnson, Coordinator of Special Projects
Paully Casillas, Staff Assistant

I. Approval of Minutes

The Board VOTED 4-0 (Miguel A. Ruiz absent) to approve the Open Session minutes of the August 16, 2006 meeting, as amended.

II. Chair's Report

Mr. DePriest welcomed the Board's newly appointed member, John L. Wihelm, M.D., MPH.

III. Executive Director's Report

A. Education

Mandatory Quadrennial Ethics Training

Since the last Board meeting, there have been 2 regularly scheduled and one specially scheduled classes for persons required to attend ethics training every four years. On September 6, 30 attended; on September 20, 40 attended, and on September 11, 2 persons from the Mayor's Washington, DC office attended. There are currently 36 persons scheduled to attend class on October 4, and 20 on October 18.

On August 29 and September 18, at the request of the Mayor's Office of Protocol, staff made 45-minute presentations on the Board's work to visiting delegations totaling 47 officials from the cities of Beijing and Zhuhai in the Peoples' Republic of China.

Mandatory All Employee Annual Ethics Training

Through September 15 for the calendar year 2006, there have been approximately 8750 employees who have completed the mandatory ethics training program. Twelve of 44

City agencies have to date achieved a 100% compliance rate. On September 19, 2006, department heads were sent status reports on their department compliance rates.

At the August meeting, Board members were provided with a disc that contained the proposed text for the aldermanic version of the training program. The Board has been provided the Aldermanic training material; Board members were to submit requested changes by August 25th; staff has not received any comment on the material; if no comments were received, staff was to produce the program as presented; and, accordingly, as none were received, such material shall be deemed accepted by the Board.

As no changes to the proposed aldermanic version of the aldermanic ethics online training program were requested by any board member, therefore, the text of the aldermanic program was used in the production of the online program for aldermen.

B. Statements of Financial Interests

Through September 20, there remain approximately 129 employees and 2 appointed officials who have failed to file their 2006 Statements of Financial Interests by May 31, as required by law. These individuals will be the subject of further discussion in closed session. To date, \$22, 480.00 in filing fees have been collected.

C. Lobbyist Registration

There are currently 525 lobbyists that have registered with the City. Since the last Board meeting, 3 lobbyists filed termination notices. To date, \$112,800.00 in lobbyist registration fees have been deposited with the Department of Revenue.

The semi-annual filing of Lobbyists' Activity Reports was due July 20, 2006. Pursuant to Ordinance, staff notified non filers of the consequences of not filing. There is one lobbyist non-filer who will be subject of further discussion during the Executive session of this meeting.

Phase I of the online Lobbyists Registration Program has been completed. Board staff awaits notice from BIS before moving into Phase II of the project.

D. 2007 Budget

On August 24, Board staff met with the budget director and members of his staff. At that time, Board staff presented an amended proposed 2007 budget that met the targeted ceiling amount of \$784,000 amount imposed on this agency.

This amount is approximately 118 thousand dollars less than our original proposed 2007 budget and \$34,000 less than our appropriated budget for 2006.

The proposed reductions to meet the imposed ceiling of \$784,000 are enumerated in the August 24 memorandum which was distributed to the Board members.

E. Illinois Freedom of Information Act

Board voted 4-0 (Miguel Ruiz absent) to waive the reading of the following written report and to accept as part of the open session minutes at the request of the Executive Director.

Since the last meeting the following requests for review of documents were received:

1. This request was for THREE FORMS. It was a request for one lobbyist's 2006 filings; these records were available, produced, and copied.
2. This request was for THREE FORMS. It was a request for one lobbyist's 2006 filings; these records were available, produced and copied.
3. This request was for FOUR FORMS. It was a request for one lobbyist's Jan. 2005-July 2006 activity reports; only July 2005 records were available, which were produced and copied.
4. This request was for FOUR FORMS. It was a request for one lobbyist's Jan. 2005-July 2006 activity reports; all records except January 2005 were available, which were produced and copied.
5. This request was for FOUR FORMS. It was a request for one lobbyist's Jan. 2005-July 2006 activity reports; all records except January 2005 were available, which were produced and copied.
6. This request was for EIGHT FORMS. It was a request for one employee's Statements of Financial Interests for 1999-2006; all records were available, produced and copied.
7. This request was for TWO FORMS. It was a request for one employee's Statements of Financial Interests for 2005-2006; all records were available, produced and copied.
8. This request was for TWELVE FORMS. It was a request for one lobbyist's 2002- 2005 filings and a 2006 activity report; no records were available.

9. This request was for TWO FORMS. It was a request for one lobbyist's 2006 registration statement and July 2006 activity report; all records were available, produced and copied.
10. This request was for TWO FORMS. It was a request for one lobbyist's 2006 registration statement and July 2006 activity report; all records were available, produced and copied.
11. This request was for FIFTEEN FORMS. It was a request for one lobbyist's 2002- 2006 filings; all records were available and produced; only certain records for 2003, 2004 and 2006 were requested and these were copied.
12. This request was for THIRTEEN FORMS. It was a request for one lobbyist's 2002- 2006 filings; there were no 2002 records available; all other records were available and produced; only records for 2003 were requested and these were copied.
13. This request was for THREE FORMS. It was a request for one lobbyist's 2006 filings; all records were available, produced and copied.
14. This request was for THREE FORMS. It was a request for one lobbyist's 2006 filings; all records were available, produced **but not** copied.
15. This request was for THREE FORMS. It was a request for one lobbyist's 2006 filings; all records were available, produced **but not** copied.
16. This request was for TWELVE FORMS. It was a request for one lobbyist's 2002-2005 filings; no records were available.
17. This request was for TWELVE FORMS. It was a request for one lobbyist's 2002-2005 filings; all records were available and produced; copies were made for the 2003 and 2005 records.
18. The request was for ONE FORM. It was a request for a portion of the 2005 registered lobbyist list. Part of the record was available and produced; two pages were requested and copied.
19. This request was for TWO FORMS. It was a request for one employee's Statements of Financial Interests for 2005-2006; all records were available, produced and copied.

IV. Old Business

None.

V. New Business

F. Report on Answers Submitted to Interrogatories Propounded to Board of Ethics under the First Amendment Judgment Order (Consent Decree) in *Alliance to End Repression v. City of Chicago*.

Board staff explained that it prepared and forwarded to the Police Board answers to interrogatories propounded to it - and to be answered by it - pursuant to the consent decree entered into in the case of *Alliance to End Repression v. City of Chicago*.

The Board VOTED 4-0 (Miguel A. Ruiz absent) to adjourn into Executive Session at 3:30 p.m. to review matters concerning the conduct of employees and officials of the City that could result in their discipline or dismissal, to review questions concerning matters of professional ethics and performance, to review matters in which litigation is ongoing, and/or to review matters in which the Board finds that litigation against or affecting the Board is probable or imminent.